

To Establish or Not to Establish?



DISSERTATION AUTHORS, PRIVACY, AND THE POSSIBLE COMPLICATIONS OF RDA

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How This Started



Do you add birthdates to name headings for these?

If so:

- Where do you get said information (via Registrar's office?, Vita?, CV fact sheet etc.)?
- Do you routinely add them, or do you just use them to break a conflict?
- Are there issues you confront from authors if they see this information in FirstSearch, public catalog &/or the Web? How do you handle such issues?

Initial Questions



- **Why establish this author?**
- **Establish locally or internationally?**

Establish?



- **Pros**

- Helping patrons
- Promoting your own grads
- Helping other catalogers
- Increasing your stats

- **Cons**

- Training
- Time consuming
- Expensive

Complications



- Privacy issues
- Form of name
- OCLC increase in articles
- Time constraints
- Complaints from authors
- Graduate schools or registrars
- RDA

Feeling Confident About a Name



- Ask authors to fill out form
- Join forces with your registrar or graduate school
- Require a cv with certain information included
- Hope for the best with the materials you have

MARC fields for NARS



- **1XX** **Established heading**
- **4XX** **Cross-references**
- **5XX** **See also references**
- **6XX** **Supporting information**

File Cataloging Authorities Edit Action Batch View Tools Window Help

#707078761

Text Strings User Tools

[ARN](#) NEW

Save File 348 Expires in 90 days

Rec stat	n	Entered	20110503	Replaced	
Type	z	Upd status	a	Enc lvl	n
Roman	■	Ref status	n	Mod rec	
Govt agn	■	Auth status	a	Subj	a
Series	n	Auth/ref	a	Geo subd	n
Ser num	n	Name	a	Subdiv tp	n
				Source	c
				Name use	a
				Subj use	a
				Ser use	b
				Rules	c

040		OU #b eng #c OU
100	1	Rochdale, Eleanor
670		The anti-hero in the novels of Georgette Heyer, 2011 #b t.p. (Eleanor Rochdale)

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Ser num	n	Name	a	Subdiv tp	n
				Source	c
				Name use	a
				Subj use	a
				Ser use	b
				Rules	c

040		OU #b eng #c OU
100	1	Goddard, Stuart, #d 1954-
670		The early punk influence in the works of Adam Ant #b t.p. (Stuart Goddard) c.v. (b.1954 in London; B.A. from Hornsey College of Art, 1980; Ph. D. Ohio State Univ., 1990)

RDA New NAR Fields



- **046** **Special coded dates**
- **366** **Content type**
- **370** **Associated place**
- **371** **Address**
- **372** **Field of activity**
- **373** **Affiliation**
- **374** **Occupation**
- **375** **Gender**
- **376** **Family information**
- **377** **Associated language**

Text Strings 1.10 User Tools

[ARN](#) NEW

Save File 310 Expires in 90 days

Series	n	Auth/ref	a	Geo subd	n	Ser use	b
Ser num	n	Name	a	Subdiv tp	n	Rules	c

040		OU #b eng #c OU #e rda
046		#f 1970 #u http://www.wikipedia.org/
100	1	Fellow, V. Pretentious, #d 1970-
372		English literature #u http://www.wikipedia.org/
373		Ohio State University #s 2004 #t 2009 #u http://etd.ohiolink.edu/search.cgi?q=sortinginitial%3Af&sort=author
373		Harvard University #s 2010 #u http://www.wikipedia.org/
375		Male #u http://www.wikipedia.org/
377		English
670		Donne and Done, 2009 #b t.p. (V. Pretentious Fellow)

Sources for more information



- **Electronic theses and dissertations: developing standards and changing practices for libraries and universities by Robert E. Wolverton, Lona Hoover, Susan Hall, and Robert Fowler.**
- **PCC listserv October 4,2010 to October 26**
- **MARC Standards**
- **RDA Toolkit**

New MARC fields with links



MARC 21 Format for Authority Data

[046 - Special Coded Dates](#)

[336 - Content Type](#)

[370 - Associated Place](#)

[371 - Address](#)

[372 - Field of Activity](#)

[373 - Affiliation](#)

[374 - Occupation](#)

[375 - Gender](#)

[376 - Family Information](#)

[377 - Associated Language](#)